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CHAIR'S KEY ISSUES

ISSUES FOR REFERRING/ESCALATING TO BOARD OF DIRECTORS

PART A:

ORIGINATING COMMITTEE OR GROUP:		Audit Committee	DATE OF MEETING ISSUE RAISED:	8 September 2016	
CHAIR:		Martin Sinclair	LEAD NON-EXECUTIVE DIRECTOR:	Martin Sinclair	
Agenda Item No.	DETAILS OF ISSUE:	FOR - APPROVAL / ESCALATION / ALERT / ASSURANCE / INFORMATION	RISK REGISTER / BAF REFERENCE	PAPER ATTACHED √	
2.3	The Trust is required to appoint the external auditors by 31 st December, on the advice of an auditor panel comprising the members of the Audit Committee. The Committee agreed the procurement process for the appointment of the external auditors and recommends to the Board a full competitive procurement process for the appointment of the external auditors	APPROVAL			
3.	The Committee agreed a revised internal audit plan to take into account the outcome of the CQC inspection, and following discussion with Executive and Non-Executive Directors	INFORMATION			
1	The Committee agreed that a framework would be developed for discussion by the Board to ensure coordinated Board and Committee oversight of issues and recommendations arising from the Board and its Committees and the associated responsibilities for delivery.	INFORMATION			
6.1	The Committee undertook a 'deep dive' into progress with implementing the recommendations arising from the internal audit of Consultant work-plans. Good progress had been made, but the Committee noted the importance of Board agreement on what the Trust was trying to achieve through the job planning process; establishing way marks or outcomes to measure progress in the implementation of the policy; and honesty about the cultural barriers to implementation and buy-in from clinical directors, together with adequate resources and support for the directorates.	ALERT			
DATE COMPLETED AND FORWARDED TO DIRECTOR OF CORPORATE AFFAIRS:			23 September 2016		

PART B:

RECEIVING COMMITTEE:		DATE OF MEETING ISSUE CONSIDERED:	
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CHAIR:			LEAD EXECUTIVE DIRECTOR:		
Agenda Item No.	RECORD OF CONSIDERATION GIVEN / APPROVAL / RESPONSE / ACTION:				
DATE COMPLETED AND FORWARDED TO CHAIR OF ORIGINATING BOARD / COMMITTEE / TASK & FINISH GROUP:					