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Meeting:	Brighton and Sussex University Hospitals NHS Trust Board of Directors
Date:	23 February 2017
Board Sponsor:	Steve Holmberg, Medical Director
Paper Author:	Lois Howell, Director of Clinical Governance
Subject:	Risk Management – Board Assurance Framework

Executive Summary

The Trust's Board Assurance Framework (BAF) is presented for the Board's consideration, inviting input, challenge, and suggestions for further population.

The Quality & Performance Committee has reviewed the draft BAF (on 16 February 2017) and has recommended the BAF, as set out at Appendix 1, for formal adoption.

The format of this report remains a "work in progress" and feedback and observations from Board members will be very welcome.

Action required by the Board

1. The Board is asked to consider, challenge, and offer suggestions for further population of the Board Assurance Framework 2016/17 proposed at Appendix one, and in particular to note the following new entries completed:

Item:

1	CCG commissioning practice
3	Overall patient flow through hospital/trust
4	Absence of strategic vision
10	Uncertainty / loss of focus and resource as Western Sussex Hospitals NHS Foundation Trust takes responsibility for the Trust
11	Loss of Major Trauma Centre status

2. The Board is asked to note the theme arising throughout the BAF of delays in progress occurring through the lengthy Trust procurement and capital planning processes, requiring improvement.

Links to corporate objectives	The report concerns risks to the delivery of all Trust strategic objectives
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Identified risks and risk management actions	<p>Failure to maintain robust risk management and business planning arrangements exposes the Trust to risks associated with:</p> <ul style="list-style-type: none">• The safety of individuals• Regulatory compliance status• Legal sanctions• Service delivery• Reputational damage
Resource implications	<p>At this stage, no resources are required, other than those previously agreed in association with revision of the clinical governance function.</p>
Legal implications	<p>Robust risk management processes are a legal requirement of the Health & Safety at Work Act 1974, and regulatory requirements of both the Trust's CQC registration and Monitor Licence. Failure to provide suitable risk management could lead to prosecution, enforcement action on the part of the Trust's key regulators and findings of liability in civil law proceedings.</p>
Report history	<p>The Board Assurance Framework at appendix one was reviewed and approved by the Quality & Performance Committee on 16 February 2017.</p>
Appendices	<p>Appendix one – Board Assurance Framework 2016/17</p>